

2875 #2 IPS PHAUGHTS

TC 2800 MAIL ROOM

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant:

Jeffery R. Parker et al

Serial No.: Filed:

09/909,318 July 19, 2001

For:

LIGHT REDIRECTING FILMS AND FILM SYSTEMS

Art Unit:

2875

Examiner:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents U.S. Patent and Trademark Office Washington, D.C. 20231

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, pending applications, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.: 09/256,275 \_\_\_\_\_\_ Filing Date: 2/23/99 \_\_\_\_\_

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

- 2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):
  - (a) \_\_\_\_ Copy of each English language version of a search report (or EPO Search Report) indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
  - (b) \_\_\_\_ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
- 3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):
  - (a) \_\_\_\_ Within 3 months of the filing date, date of entry into the National Stage, or filing date of CPA.
  - (b) X Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988 for consideration of this Statement.
  - (c) \_\_\_ Before the mailing date of a first Office Action on the merits after a first or second submission after final rejection under 37 C.F.R. 1.129(a).

(d)	After the period set forth in 37 C.F notice of allowance.	.R. 1.97(b) but before the maili	ng date of either a final action or a
(1)_	The required certification is give	en below, <u>or</u>	
(2)_	Enclosed is a check covering Statement, or	the fee set forth in 37 C.F.F	R. 1.17(p) for consideration of this
(3)_	_ Charge the fee set forth in 37 C	C.F.R. 1.17(p) to Deposit Accou	nt No. 18-0988
(e)	(e) After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.		
(1)_	_ Enclosed is a check covering the	ne fee set forth in 37 C.F.R. 1.1	7(p), or
(2)_	_ Charge the fee set forth in 37 C	C.F.R. 1.17(p) to Deposit Accou	nt No. 18-0988.
4. Certification (if applicable)			
(a)	The undersigned hereby certifies that each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.		
(b)	The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.		
5. The Cor Account No	mmissioner is hereby authorized to a 18-0988.	charge any additional fees or o	credit any overpayment to Deposit
		Respectfully Submitted,	ī
		By Donald L. Otto	RECEIVED  DEC 10 2001  2800 MAIL ROOM
	l Avenue, 19th Floor Ohio 44115 113	Reg. No. 22,125	<b>-</b>
CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8			
deposited of	rtify that this correspondence (along on the below date with the United Standardseed to Commissioner for Pater	ates Postal Service with sufficite	ent postage as first class mail in an
Date: No	vember 29, 2001	K. K.	Donald L. Otto

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